

**SCHEDULE OF ACTIVITIES UNDER ROADMAP**

**Name of Bank/DFI:-**

Estimated timelines for Completion of these Stages/phases **													
Stage/Phase No.	Stage/Phase Description	Jan 09	Feb 09	Mar 09	April 09	May 09	Jun e 09	Jul 09	Aug 09	Sep 09	Oct 09	Nov 09	Dec 09
Stage/Phase I	<u>Documenting Internal Control Over Financial Reporting</u> : Process and control documentation/Mapping												
Stage/Phase II	<u>Identification/Review of gaps and recommendations</u> : conduct of a institution-wide compliance readiness assessment for all of the applications within this project's scope to define the control environment and to identify the areas where deficiencies exist.												
Stage/Phase III	<u>Development of detailed Implementation Plans to rectify the gaps</u> : i.e. creating action plans for remediation of each deficiency												
Stage/Phase IV	Development of Management Testing Plan for testing of key controls:												
Stage/Phase V	Implementation of initiatives, as planned under Stage III												
Stage/Phase VI	Quality Assurance/ Validation on the initiatives completed												
Stage/Phase VII	Conduct of management's own testing of key controls and reporting of results to BoD.												
Stage/Phase VIII	Design and Implementation Reviews by external auditors												

**\*\* As most of the banks/DFIs have started the activity in earlier time, therefore, they need to carry along with their own timelines but should be completing it by end of 2009.**

**Signed by:**

- 1) **President/CEO/Country Head**
- 2) **CFO**

Note: In order to explain this schedule, all banks/DFIs are required to give description in detail relating to steps/stages and its status at the time of submission.