



### Banking Supervision Department-1

#### Details of Significant Enforcement Actions by SBP during the Quarter ended June 30, 2021

(Rupees in million)

Sr.No	Institution	Nature of Offence	Action Taken	Monetary Penalty
1	Bank Alfalah Limited	Violation of regulatory instructions pertaining to Asset Quality & General Banking Operations	In addition to penal action, the bank has been advised to strengthen its processes with respect to identified areas.	11.100
2	MCB Bank Limited	Violation of regulatory instructions pertaining to AML/CFT & General Banking Operations	In addition to penal action, the bank has been advised to conduct an internal inquiry on breaches of regulatory instructions and take disciplinary action against the delinquent officials.	289.091
3	First Women Bank Limited	Violation of regulatory instructions pertaining to Asset Quality, CDD/KYC & General Banking Operations	In addition to penal action, the bank has been advised to strengthen its processes with respect to identified areas.	31.577
4	Sindh Bank Limited	Violation of regulatory instructions pertaining to AML/CFT, Asset Quality, FX & General Banking Operations	In addition to penal action, the bank has been advised to conduct an internal inquiry on breaches of regulatory instructions and take disciplinary action against the delinquent officials.	62.177
5	Soneri Bank Limited	Violation of regulatory instructions pertaining to CDD/KYC, FX & General Banking Operations	In addition to penal action, the bank has been advised to strengthen its processes with respect to identified areas.	12.590



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6	Zarai Taraqati bank Limited	Violation of regulatory instructions pertaining to AML/CFT, Asset Quality & General Banking Operations	In addition to penal action, the bank has been advised to conduct an internal inquiry on breaches of regulatory instructions and take disciplinary action against the delinquent officials.	75.759
7	The Punjab Provincial Cooperative Bank Limited	Violation of regulatory instructions pertaining to AML/CFT	In addition to penal action, the bank has been advised to conduct an internal inquiry on breaches of regulatory instructions and take disciplinary action against the delinquent officials.	32.504
8	Pak Brunai Investment Company Limited	Violation of regulatory instructions pertaining to CDD/KYC, Asset Quality & General Banking Operations	In addition to penal action, the bank has been advised to strengthen its processes with respect to identified areas.	10.446

**Note:** These actions are based on deficiencies in the compliance of regulatory instructions and does not constitute a comment on the financial Soundness of the entity.